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PATENT APPLICATION

Attorney Docket No.	10018-007	Total Page	Total Pages		
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(Only for new nonprovisional applications under 37 CFR 1.53(b))			E	Express Mail Label No. EV33585			5856349US		
	See MPEP c	APPLICATION ELEMENTS hapters 600 concerning utility patent application contents.		Addres	s to:			LICATION	
1. Fee Transmittal Form (original plus one copy) [Total Sheets: 2] (Submit an original, and a duplicate for fee processing)			7.	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)					
2.	2. Applicant claims Small Entity status, see 37 C.F.R. § 1.27			8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)					
3. 🛛	Specification [Total Pages 22]			a. Computer Readable Form (CRF)					
(preferred arrangement set forth below, MPEP 1503.01) - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed)			 b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper c. Statement verifying identity of above copies 						
			ACCOMPANYING APPLICATION PARTS						
		Description of the Invention (including drawings, if filed)	9.			ers (cover sheet &	& document(s))		
	- Claim(s - Abstrac) t of the Disclosure	10		CFR 3.73(b) : ien there is ar		Powe	r of Attorney	
4. 🛛	Drawing	(s) (35 USC 113) [Total Sheets 6]	11		_	ion Document (if	,		
5. 🖾	Oath or £	Declaration (unexecuted) [Total Sheets 2]	12.		ormation Disc tement (IDS)/		☐ Copie Citati	es of IDS ons	
ę.	a. 🔲	Newly executed (original or copy)	, 13.	Pre	liminary Ame	endment			
	b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)					Postcard (MPEP 5 fically itemized)	503)		
	i. 🗀				15. Certified Copy of Priority Document(s) (if foreign priority is claimed) Nonpublication Request under 35 U.S.C. 122 (b)(2)(i). Applicant must attach form PTO/SB/35 or it's equivalent				
6. 🔲	Applicati	on Data Sheet. See 37 CFR 1.76	17.	☐ Oth	ier:		-		
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: filed Prior application information: Examiner: Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation									
can on	ly be relied up	oon when a portion has been inadvertently omitted from the 19. CORRESPON	submitted	l applicatio	n parts.				
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☐ Customer Number 20583 (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below									
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PENNIE & EDMONDS LLP

COUNSELORS AT LAW 1155 Avenue of the Americas New York, New York 10036-2711

ATTORNEY DOCKET NO. 10018-007

Date: July 30, 2003

Commissioner for Patents P.O. Box 1450 Mail Stop PATENT APPLICATION Alexandria, VA 22313-1450

Sir:

The following utility patent application is enclosed for filing:

Applicant(s):

David R. Fitzpatrick & William G. Riggio

Executed

on:

Title of Invention:

METHOD AND SYSTEM FOR PROVIDING RULE-BASED COLLATERAL

ALLOCATION AND SUBSTITUTION

PATENT APPLICATION FEE VALUE

ТҮРЕ	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE	
Total Claims	6	- 20	0	\$18.00 each	- \$	0
Independent	11	- 3	0	\$84.00 each	\$	0
Minimum Fee				\$	750	
	Multiple Dependency Fee If Applicable (\$280.00) Total					٥
						750
Applicant qualifies for the			0% Reduction for	Independent Inventor,		750
	Nonprofit Org	anization or S	mall Business Con	icern	\$	0
,				Total Filing Fee	\$	750

[]	DO NOT PUBLISH. I hereby certify that the invention disclosed in the attached application
	has not and will not be the subject of an application filed in another country, or under a
	multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
[X]	Priority of application no. 60/399,903 filed on July 30, 2002 is claimed under 35 U.S.C. § 119.

The certified copy of the priority application has been filed in application no. filed on.

[] Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed on .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Henry C. Lebowitz

36,196

Reg No.

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.